Guideline-Based Peer-to-Peer Consultation Optimizes Pegfilgrastim Use With No Adverse Clinical Consequences

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Objectives: Practice guidelines do not recommend the routine use of colony-stimulating factors when there is a low risk (<10%) of febrile neutropenia (FN). We prospectively determined whether expert peer-to-peer consultation with prescribing oncologists would improve adherence to guidelines and whether there would be any adverse events associated with that adherence.

Methods: Commencing in March 2010, we reviewed requests for pegfilgrastim from 22 community oncology practices comprising 78 physicians providing service to approximately 97,000 Medicare members. Paid claims data on all chemotherapy and supportive care medications were reviewed from fourth quarter (Q4) 2009 through third quarter (Q3) 2010. In total, 82 patients received pegfilgrastim. If the prescribed chemotherapy was associated with a low risk (<10%) for FN, then a peer review was initiated. The treating physician made the final decision to use, or not use, pegfilgrastim, and no denials were issued.

Results: A total of 245 units (1 unit = 6 mg) of pegfilgrastim were administered during the 4 quarters analyzed. Use in the low-risk category decreased from 52 units in Q4 2009 to 15 units in Q3 2010. The per member per month (PMPM) cost of pegfilgrastim decreased across quarters, with an average cost of $1.07 PMPM for Q4 2009 and $0.57 PMPM for Q3 2010. No studied patient was admitted for neutropenic fever.

Conclusions: Active expert peer-to-peer consultation with prescribing oncologists can promote adherence to guidelines and potentially lead to significant cost reductions without significant risk of neutropenic fever, with or without hospitalization, for patients with cancer.


Febrile neutropenia (FN) is a medical emergency that must be treated with antibiotics covering both Gram-positive and Gram-negative pathogens.\(^1\) Although the definition of neutropenia varies across institutions, most agree that an absolute neutrophil count (ANC) <500 cells/μL, or a neutrophil count <1000 cells/μL, with a predicted nadir <500 cells/μL, are criteria likely to be clinically significant. The treatment of FN has improved greatly, and there has been a progressive decline in mortality rates since the prompt initiation of empiric antibiotic coverage became standard.\(^2\) Despite improvements in the management of FN, minimizing the development of FN remains the primary strategy for avoidance of morbidity and mortality. Granulocyte colony-stimulating factors (G-CSFs), such as filgrastim, sargramostim, and pegfilgrastim, when used properly, minimize the incidence of neutropenic complications. The pivotal trial leading to filgrastim approval for the prevention of FN showed a reduction in the rate of FN from 76% in placebo-treated patients to 40% in filgrastim-treated patients.\(^3\) The hospitalization rate in the first cycle decreased from 69% among placebo-treated patients to 52% among filgrastim-treated patients. Clearly, the regimens studied for initial filgrastim approval were associated with extremely high rates of neutropenic events.

Although filgrastim must be administered daily for several days after cytotoxic treatment, the development of a long-acting growth factor allows a single postchemotherapy injection. The randomized study that led to the approval of pegfilgrastim used filgrastim in the control arm. Both the pegfilgrastim and filgrastim arms in the pivotal trials showed FN rates in the range of 10% to 20%, with a similar incidence, depth, and duration of severe neutropenia.

CSFs are thus proven to reduce FN and associated hospitalizations. Peer-reviewed literature is abundant, describing the clinical efficacy and cost effectiveness of CSFs, when used in clinical situations deemed high risk for FN.\(^5\)-\(^10\) All nationally and internationally recognized guidelines use the likelihood of developing FN as the primary factor when determining if prophylactic CSFs should be used.\(^11\)-\(^14\) It is universally recommended that prophylactic G-CSFs be used in patients receiving chemotherapy regimens with a high risk (>20%) of FN. When using a chemotherapy regimen associated with an intermediate risk of FN (10% to 20%), guidelines recommend considering patient-related risk factors, such as age (>≥65 years), baseline WBC count, treatment intent, and comorbid conditions. In situations where dose-dense or dose-intensive chemotherapy strategies have survival benefits, prophylactic G-CSF support is recommended. No national guidelines recommend the use of CSFs when the likelihood of FN is <10%, unless there are meaningful additional clinical risks.\(^11\)-\(^14\)

None of the current guidelines take cost into consideration, although the 2000 American Society of Clinical Oncology (ASCO) guideline commented that the routine use of CSFs for primary prophylaxis cannot be justified on the basis of cost savings when the FN risk is <15%.\(^12\)

Although it is also recognized that CSFs might have the ability to reduce the duration of mild neutropenia, the clinical relevance of this finding is unproven, especially in noncurative or palliative settings. We were unable to find any literature demonstrating an improvement in clinical outcome with the prophylactic use of CSFs when the risk of FN was <10%. The lack of literature in this low-risk setting is consistent with guidelines stating that primary prophylaxis with CSFs should not be routinely used if the risk of FN is <10%.

Methods

Chemotherapy Classification Into Low Versus Higher Risk
Chemotherapy regimens deemed intermediate or high risk in the national guidelines are combined in this analysis as 1 group and called higher risk. The chemotherapy regimens that are classified as neither intermediate nor high risk in any of the guidelines, or are explicitly classified as low risk in the guidelines, were deemed low risk. One exception to this is the carboplatin-paclitaxel regimen, which is listed as an intermediate-risk regimen in the National Comprehensive Cancer Network (NCCN) guidelines, although numerous randomized clinical studies have shown that this regimen is associated with a low risk of FN.\textsuperscript{15-19} We identified 2 articles that showed the risk of FN with the carboplatin-paclitaxel regimen to be intermediate. One of these studies used a higher carboplatin dose (ie, area under the curve, 7.5), which is now seldom used in community practices.\textsuperscript{20} The other is an article from Japan showing a risk of FN of 18% in Japanese patients.\textsuperscript{21} It is now known that pharmacogenomic differences between white and Japanese populations resulted in this higher risk of FN in a Japanese population.\textsuperscript{22} In view of all this, we felt comfortable categorizing the carboplatin-paclitaxel regimen as low risk (<10%) for FN.

Also, although there was a low risk of FN in the pivotal trial with the docetaxel-cyclophosphamide regimen,\textsuperscript{23,24} it has since been reported that the risk of FN with this regimen falls in the intermediate category.\textsuperscript{25} We have classified this regimen as low risk, however, consistent with national guidelines. Finally, we did observe use of pegfilgrastim in the absence of any chemotherapy administration, and such usage was classified as occurring in the setting of low-risk regimens for the purposes of this study.

The specific chemotherapy regimens categorized as low risk in this study are listed in the Table. Use of pegfilgrastim with all other regimens was considered to be prophylaxis for a higher risk of FN.

### Background Use of Pegfilgrastim

Twenty-two community oncology practices comprising 78 physicians in Broward and Palm Beach Counties in Florida agreed to participate in this project. As a baseline, paid claims data on all chemotherapy and supportive care medications for approximately 97,000 Medicare health maintenance organization (HMO) members in these 2 counties were reviewed from the fourth quarter (Q4) of 2009 and the first 2 months of 2010.

### Prior-Review System

Commencing March 2010, a prior-review system was initiated for pegfilgrastim. All chemotherapy and supportive care medication orders for these 97,000 Medicare HMO members were pre-reviewed by a board-certified hematologist/oncologist. If the prescribed chemotherapy protocol was deemed to have an intermediate (10% to 20%) or high risk (>20%) for FN, then there was no intervention. If the prescribed chemotherapy was deemed to have a low risk (<10%) for FN, then medical records were reviewed by the peer reviewer.

If the clinical situation did not seem to warrant prophylaxis with CSFs, then the treating physician was contacted by the reviewing physician and informed that the treatment plan was associated with a low risk of neutropenic complications, and the guidelines did not recommend the routine use of pegfilgrastim in low-risk clinical situations. If requested, a reference to the guidelines was provided as well as the pivotal trials showing the incidence of FN with the chemotherapy regimen in question. The decision to use pegfilgrastim, however, remained with the treating physician, and no denials were issued.

### Postintervention Use of Pegfilgrastim

Paid claims data on all chemotherapy and supportive care medications from these 22 oncology practices were reviewed from March 2010 and the second (Q2) and third quarters (Q3) of 2010.

### Results

A total of 22 community oncology practices covering approximately 97,000 Medicare HMO members participated in this project. A total of 82 patients received a total of 245 units of pegfilgrastim over the 4 quarters from Q4 2009 through Q3 2010. Figure 1A details use by tumor type. A significant proportion of use was in lymphomas (18 patients), breast cancer (17 patients), lung cancer (16 patients), and chronic lymphocytic leukemia (6 patients). Six patients received pegfilgrastim without a diagnosis of malignancy or any associated chemotherapy. These patients are classified in the others category. Figure 1B shows that the intent of therapy was deemed curative in 37 patients (45%), who received 117 units (48%) of the total pegfilgrastim used. The remaining 128 pegfilgrastim units (52%) were used in 45 patients (55%), in whom the treatment intent was palliation.

The pegfilgrastim use by quarter shows that 77 units were used in Q4 2009, 69 units in the first quarter (Q1) of 2010, 60 units in Q2 2010, and 39 units in Q3 2010 (Figure 2A). The proportional use in the low-risk category for FN was 67.5% in Q4 2009, 53.6% in Q1 2010, 36.6% in Q2 2010, and 38.4% in Q3 2010. Use in the low-risk category decreased from 52 units in Q4 2009 to 37 units in Q1 2010 to 22 units in Q2 2010 and to 15 units in Q3 2010. Use in the higher-risk category varied by quarter and did not seem to be affected by the prior-review process.

We also analyzed whether the use of pegfilgrastim differed with the source of pegfilgrastim acquisition by oncology practices (ie, whether pegfilgrastim was acquired via buy and bill vs obtained from a specialty pharmacy). When acquired by buy and bill, 60% of the usage of pegfilgrastim occurred with low-risk regimens, and when acquired from a specialty pharmacy, 45% of the usage was with low-risk regimens. A similar trend of a higher proportional use in noncurative or palliative settings was seen when pegfilgrastim was acquired via buy and bill. There was no reported episode of FN or other neutropenic events among patients in whom the treating physician withheld pegfilgrastim in the setting of a low-risk regimen. The per member per month (PMPM) cost of pegfilgrastim decreased across quarters, with an average cost of $1.07 PMPM for Q4 2009, $0.93 PMPM for Q1 2010, $0.64 PMPM for Q2 2010, and $0.57 PMPM for Q3 2010 (Figure 2B).

### Discussion

Our review of pegfilgrastim use in community practices in southern Florida demonstrates that a significant proportion of the drug is used with chemotherapy regimens deemed to be low risk (<10%) for FN. In the absence of a peer-review process, more than two-thirds (67.5% in Q4 2009) of pegfilgrastim use was not consistent with published literature or available guidelines. Although the ASCO, NCCN, and European Organisation for Research and Treatment of Cancer guidelines state that primary prophylaxis with CSFs be used with high-risk chemotherapy regimens (>20% FN risk) or with intermediate-risk chemotherapy regimens (10% to 20% FN risk) associated with additional clinical risk factors for FN, none of the guidelines recommend the routine use of pegfilgrastim with low-risk chemotherapy regimens (<10% FN risk). Clearly, the guidelines on the use of G-CSFs have not translated into some of the clinical practices.
Although our review did not go into the details of why physicians use pegfilgrastim in clinical situations generally recognized as having a low risk for FN, we speculate that physicians might be trying to prevent lower-grade neutropenia, even though there is no evidence that doing so improves clinical outcome. Direct-to-consumer advertising by the pharmaceutical industry may be an additional driver of this profound and expensive overuse. In the future, an alternate design of a randomized controlled trial of peer-review consultative process versus no consultation might be better able to define the effectiveness of the consultative process.

We conclude that a peer-review consultative process substantially decreases the inappropriate use of pegfilgrastim, even when denials are not issued. This particular peer-to-peer review system did not result in a reduction in the appropriate use of pegfilgrastim, and no neutropenic events in patients receiving low-risk regimens were reported.

Our peer-to-peer consultative review process led to significant reductions in pegfilgrastim expenses, from $1.07 PMPM in Q4 2009 to $0.57 PMPM in Q3 2010. Although we recognize that the limitations of these findings would include the relatively small sample size and lack of statistical rigor, nonetheless, the savings among 97,000 covered lives could translate to more than $250 million per year in cost reduction across the entire Medicare-eligible population.

In conclusion, a large proportion of pegfilgrastim use in the 22 community practices that participated in this study was not in accordance with evidence-based literature or nationally recognized guidelines. A real-time peer-review process conducted by a board-certified hematologist and/or oncologist improved adherence to guidelines and resulted in significant cost savings.1 Freifeld AG, Bow EJ, Sepkowitz KA, et al: Clinical practice guideline for the use of antimicrobial agents in neutropenic patients with cancer: 2010 update by the Infectious Diseases Society of America. Clin Infect Dis 52:e56-e93, 2011


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